

Form 6

SATRIX INVESTMENT PLAN – CSDP TRANSFER FORM

To be completed when transferring Satrix securities out of the Satrix Investment Plan to another CSDP

Please complete this application form and submit by fax to 011 388 8558 or E-mail satrix@aospartner.com. Should you have any queries concerning this application form, please contact our Call Centre on: 086 1100 670 (International Dialling: +27 11 561 6890).

COMMUNICATION WITH THE SATRIX INVESTMENT PLAN

Please send all communication (including quarterly statement) via: Email Post

(Please note, where no preference is indicated, email will be used for communication by Automated Outsourcing Services (Pty) Ltd (“the Administrator”))

1. INVESTOR DETAILS

Satrix Investment Plan Client No.:

Title: First Names:

Surname / Legal Entity Name:

Identity / Passport No.: Date of birth:

Home Tel No.: Office Tel. No.:

Fax No.: Cellular No.:

E-mail Address:

INVESTOR BANK DETAILS

(This bank account must be in the name of the investor or legal guardian in the case of a minor).

Name of account holder:

Bank:

Account No.: Account Type: Cheque Savings Transmission

Branch Name: Branch Code:

If bank details are different from those given on original application form, please include your proof of South African bank account with this application form (copy of cancelled cheque or current bank statement which reflects bank name, acc. holder’s full name and bank acc. no.) Please note that no Credit Card or Internet statements will be accepted.

2. CSDP TRANSFER DETAILS

I/We hereby instruct the Administrator to transfer my/our securities as follows:

Name of institution transferring to:

Contact Name: Contact Tel. No.:

From Portfolio No.	Security	Or Units	Or Percentage

Please note:

- There is a 40 day holding period on Satrix securities bought with the most recent debit order
- R171 (Incl. VAT) will be charged by the administrator per transaction

3. INVESTOR DECLARATION

(This declaration must be signed by all investors)

The Investor, or where applicable, his authorized signatory, by appending his signature hereto, states and declares that he/she has read and understood the terms and conditions pertaining to this investment product and the investment media selected; warrants that all statements given by him in the CSDP Transfer form are true and correct in every respect.

The Investor acknowledges that he/she is aware of and understands the fees and commissions applicable to this investment, and the risks associated with the investment choice.

The investor agrees that the responsibility to assure receipt of any instruction by the Administrator via fax or e-mail remains the responsibility of the investor

Signed at

Signature of Investor (or duly authorised person/s where applicable)

Date (ccyy-mm-dd)

Signature of Contact Person or Legal Guardian (if applicable)

Date (ccyy-mm-dd)

4. CONTACT DETAILS

Satrix Investment Plan

Contact Details	Postal Address	Physical Address
Satrix Contact Centre : 086 110 0670 Fax: +27 (011) 388 8558 Email: satrix@aospartner.com Website: www.satrix.co.za	PO Box 4769 Randburg 2125	15 Philips Street Randburg

FICA Documentation Checklist

Due Diligence Requirements – New Applicants must send the following applicable FICA documents

Together with a fully completed New Business Application form.

Additional or updated documentation may be requested in certain circumstances.

Please ensure that the information provided on the FICA documentation is clear and certified if a copy.

All FICA documents must be less than 3 months old except for income tax forms / property insurance policy schedules.

If a Financial Service Provider (FSP) / Representative is utilised, a certified copy of the FSP license is required.

*Individual	Trust
<p style="text-align: center;">Identification</p> <p><u>Clear copy of one of the following:</u></p> <ul style="list-style-type: none"> • Copy of Green RSA Identity Document • Copy of RSA Passport and proof of the loss or theft of ID book <p style="text-align: center;">Confirmation of address</p> <p><u>Clear copy of one of the following, confirming Name and Address, not older than three months:</u></p> <ul style="list-style-type: none"> • Rates and taxes (utility) account • Bank statement from another bank • Rental/ lease agreement • Short term insurance document • Body Corporate/Share block correspondence • Motor vehicle license document • TV license • Mortgage statement from a bank • Telkom Account • SARS Tax Return • Payslip / Salary Advice • Affidavit from the individual's spouse or employer confirming the residential address. <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Proof of South African Banking details – either: <ul style="list-style-type: none"> - Cancelled Cheque or Bank Statement (no internet or credit card statement accepted) - A letter from Bank confirming banking details • Proof of Income Tax Number 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • A certified copy of the Trust Deed or other Founding Document • A certified copy of the authorisation given by the Master of the High Court in terms of Section 7 of the Trust Property Control Act 1988, to each trustee to act in that capacity or an official document which reflects these particulars issued in a country where the Trust was created. • South African citizens and residents who are: Trustees; or Beneficiaries (referred to by name in the Trust Deed); or Founders; or Authorised to establish a business relationship with The Investment Plan on behalf of the Trust. [For the abovementioned individuals, a certified copy of a green bar-coded identity document (a written reason must be supplied if the identity document is not available – in such instances a certified copy of a valid SA passport or valid SA driver's license reflecting a photograph, initials and surname, date of birth and identity number). • Foreign Nationals who are: Trustees; or Beneficiaries (referred to by name in the Trust Deed); or Founders; or Authorised to establish a business relationship with The Investment Plan on behalf of the Trust. [A certified copy of such a Foreign National's passport] • Where a South African company, South African Close Corporation, Foreign Company, Partnership and/or Trust is a trustee, beneficiary and/or founder, then certified copies of the documentation required under their respective headings in this checklist, should be obtained. <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Trust – as per *individual requirements • Trustees – as per *individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • A certified copy of Resolution or similar document, signed by all trustees, reflecting the authority provided by the Trustees to the relevant person/s, authorised to establish the business relationship with The Investment Plan on behalf of the Trust. • Proof of the Trust's banking details • Proof of VAT registration (if applicable) • A certified copy of a document issued by SARS reflecting the SA income tax number for the Trust
Investing in Name of Minor	Investor Clubs and Stokvels
<ul style="list-style-type: none"> • Minor – Certified copy of birth certificate • Legal Guardian – as per individual requirements • Letter confirming guardianship (if applicable) 	<ul style="list-style-type: none"> • Copy of constitution or other founding documents • A certified copy of a document reflecting the trade name and physical address of the entity • A certified copy of Resolution or similar document reflecting the authority of the person/s who is authorised to establish the business relationship with The Investment Plan on behalf of the entity • Copy of register of participants • Person/s who is authorised to establish the business relationship – as per individual requirements • The Administrator reserves the right to request FICA documents for all participants <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Club and Stokvel banking details – as per individual requirements

South African Company	Partnership
<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Certificate of Incorporation (CM1, CM22 and CM29) • Directors – as per individual requirements • All shareholders holding 25% or more of voting rights at a general meeting – as per *individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Proof of business address of the Company e.g. utility bill (not older than 3 months) reflecting the name and business address • Directors – as per *individual requirements • Name(s) and address(es) of all directors • All shareholders holding 25% or more of voting rights at a general meeting – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Resolution on a company letterhead specifying who is authorised to act on behalf of the Company as well as written confirmation of the company's shareholding • Green RSA Identity Document (s) of the person(s) authorised to act on behalf of the Company • Written confirmation of the residential address and contact particulars of the person(s) authorised to act on behalf of the Company • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • A certified copy of the partnership agreement • The person exercising executive control; and the person authorised to establish a business relationship with The Investment Plan on behalf of the Partnership • A certified copy of Resolution or similar document reflecting the authority of the person/s authorised to establish the business relationship with The Investment Plan on behalf of the Partnership • All Partners – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • All Partners – as per *individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number
	<p style="text-align: center;">Closed Corporations</p> <p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Founding Statement and Certificate of Incorporation (CK1) • Identification document(s) of the member(s) of the Close Corporation <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Proof of business address of the Close Corporation e.g. utility bill (not older than 3 months) reflecting the name and business address • Written confirmation of the residential address and contact particulars of the member(s) of the Close Corporation reflecting the member's name and residential address <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Resolution specifying who is authorised to act on behalf of the Close Corporation • Green RSA Identity Document (s) of the person(s) authorised to act on behalf of the Close Corporation • Written confirmation of the residential address and contact particulars of the person(s) authorised to act on behalf of the Close Corporation • Proof of banking details of the Close Corporation as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number
<p>Source of funds (the proceeds to be invested need to have been accumulated and invested legitimately): - Declaration on the application advising how the funds have legitimately arisen (from which legitimate activity, event or circumstances)</p>	