

## CFD Account Opening Form – Corporate Clients

This form must be read with and forms part of the signed CFD Terms and Conditions / CFD ISDA Documentation.

<b>New client</b>		<b>Amendments</b>	
<b>(Complete all sections.)</b>		<b>(Complete sections requiring changes.)</b>	

A minimum deposit of R20 000 is required to open a CFD account with Nedbank Capital, a division of Nedbank Limited ('Nedbank Capital').

### Corporate type

<b>A. Company</b>				
<b>Limited</b>		<b>(Proprietary) Limited</b>		<b>Sec 21</b>
<b>B. Close corporation</b>				
<b>C. Partnership</b>				
<b>D. Government body</b>				

### Section A – Corporate details

<b>Registered name</b>	
<b>Registration number</b>	
<b>Trading name</b>	
<b>Date of incorporation</b>	
<b>Physical address</b>	
<b>Postal code</b>	
<b>Postal address</b>	
<b>Postal code</b>	
<b>Telephone (work)</b>	
<b>Fax number</b>	
<b>Description of main business</b>	
<b>Income tax number</b>	
<b>VAT number</b>	

<b>Gross monthly income of corporate</b>	<b>0-50K</b>	<b>50K-100K</b>	<b>100K-200K</b>	<b>200K+</b>
<b>Net asset value of corporate</b>	<b>0-500K</b>	<b>500K-1M</b>	<b>1M-5M</b>	<b>5M+</b>

Initial here:

## Section B – Contact details of director/member/authorised representative

Person authorised to act on behalf of the corporate (to whom correspondence will be addressed)

Full names	
Date of birth	
Identity number/Passport number	
Nationality	
Physical address	
Postal code	
Postal address	
Postal code	
Telephone (work)	
Telephone (home)	
Fax number	
Cellphone number	
Email address	

Person authorised to act on behalf of the corporate

Full names	
Date of birth	
Identity number/Passport number	
Nationality	
Physical address	
Postal code	
Postal address	
Postal code	
Telephone (work)	
Telephone (home)	
Fax number	
Cellphone number	
Email address	

### Section C – Bank details

Name of bank	
Name of account	
Account number	
Branch number	
Type of account	

### Section D – Details of the intermediary, if any

Complete only if A or B below is applicable.

<b>A</b>	<b>The corporate has authorised the intermediary, detailed below, to trade for and on behalf of the corporate on the corporate's account, to view the corporate's account and to provide research and/or advice.</b>			
<b>N/A</b>	<b>Yes</b>	The intermediary is authorised to trade on the corporate's account, view the corporate's account and provide research and/or advice.	<b>No</b>	The intermediary is <b>NOT</b> authorised to trade on the corporate's account <b>but</b> can provide research and/or advice.
<b>B</b>	<b>If 'no' is ticked above, then</b>			
<b>N/A</b>	<b>Yes</b>	The intermediary is allowed to view the corporate's account.	<b>No</b>	The intermediary is <b>NOT</b> allowed to view the corporate's account.

#### Where the intermediary is a legal entity:

Registered name	N/A
Trading name	
Financial services provider licence number	
Contact person	
Physical address	
Postal code	
Postal address	
Postal code	
Telephone (work)	
Fax number	
Cellphone number	
Email address	

**Where the intermediary is an individual:**

<b>Surname</b>	<b>N/A</b>
<b>First name(s)</b>	
<b>Nationality</b>	
<b>Identity number/Passport number</b>	
<b>Financial services provider licence number</b>	
<b>Residential address</b>	
<b>Postal code</b>	
<b>Postal address</b>	
<b>Postal code</b>	
<b>Telephone (work)</b>	
<b>Fax number</b>	
<b>Email address</b>	

**Section E – Intermediary fee**

- The corporate acknowledges that Nedbank will pay an intermediary fee, if applicable, to the intermediary, detailed in section D, on every trade that is executed on this account.
- The corporate confirms that the initial intermediary fee paid by Nedbank Capital to the intermediary, will be (basis points) \_\_\_\_N/A\_\_\_\_\_.
- The intermediary will advise Nedbank Capital when the initial intermediary fee mentioned above has changed and the intermediary will provide Nedbank Capital with the corporate’s written confirmation of such a change.

**Section F – Declarations and acknowledgements**

- The corporate acknowledges that an execution premium percentage of \_\_\_\_% (\_\_\_\_basis points) will be paid to Nedbank.
- The corporate hereby confirms that all details provided in this form are true and correct.
- The corporate undertakes to inform Nedbank Capital immediately in writing of any changes to the details contained herein.
- The corporate hereby confirms that in completing this Account Opening Form no financial advice, guidance, recommendation or proposal was received from Nedbank Capital for the purpose of purchasing or selling CFD derivatives.
- The corporate confirms that it has read and understood the CFD terms and conditions and is aware of all the risks inherent in purchasing and selling CFDs.

Initial here:

## Section G – Documents to accompany this application form

### Corporate Clients

	FICA Documents per FICA Checklist attached

Note: Certification stamps are to be original and not copies of a copy.

**Name** .....

**Name** .....

**Capacity** .....

**Capacity** .....

**Signed** .....

**Signed** .....

**Date** .....

**Date** .....