

# Investor elections

Courtney Capital (Pty) Ltd (“Investment Manager”) Investor Elections, Details & Signatures

## 1. ISDA Documentation and/or CFD Annexure (if applicable):

By ticking “Yes” in the box & signing & dating below, the **Investor** (or the Investor’s duly authorised agent acting for and on behalf of the Investor) hereby confirms and agrees that:

- 1.1 the Investor is authorised and intends to **trade in derivative instruments** by inter alia entering into ISDA and/or CFD trades, equity swaps and/or over-the-counter instruments;
- 1.2 and in order to enter into the relevant ISDA and/or CFD transaction, equity swaps and/or over-the-counter instruments, the Investor authorises Peregrine and/or the Investment Manager to sign and execute, on behalf of the Investor, the relevant ISDA, CFD, equity swaps and/or over-the-counter documentation which includes, but is not necessarily limited to: the relevant master agreement, schedule, confirmation (in respect of each transaction), CFD annexure and all other necessary documents thereto:

Yes  No

## 2. STP Authorisation (if applicable):

By signing this document (either personally or through my/our duly authorised agent acting for and on behalf of me/us), I/We the undersigned, hereby indemnify and hold Peregrine Equities (Pty) Ltd harmless against any fraudulent, wilful or negligent acts or omissions that may be undertaken by the Authorised STP User (as defined in Annexure “A” of the Trading and Custodial Services Agreement) for any Loss of assets or capital.

- 2.1 Authorised STP users are found on [www.ccpw.co.za/meet-the-team/](http://www.ccpw.co.za/meet-the-team/) & includes the investor.
- 2.2 Investor & bank details can be found on the attached ‘client information schedule’.
- 2.3 Contract notes & statements must be delivered to: .....

## 3. Execution clause

This paragraph 3 and the execution clause immediately below shall serve as the execution clause of the Trading and Custodial Services Agreement between Peregrine, the Investor and the Investment Manager. By signing this paragraph 3:

- 3.1 Peregrine hereby executes the Trading and Custodial Services Agreement and thereby bind themselves to the terms and conditions thereof; and
- 3.2 the Investment Manager warrants that it is acting in two capacities, firstly as principal to this Agreement and secondly as the duly authorised agent signing this Agreement for and on behalf of the Investor, and hereby understands and agrees, by virtue of its signature of this paragraph 3, to be binding both the Investment Manager and the Investor, to the terms of each of the following agreements/documents:
  - 3.2.1. this Trading and Custodial Services Agreement (and annexure thereto), signed between Peregrine and the Investment Manager;
  - 3.2.2. the respective account opening agreements necessary to provide the Services in terms of the Trading and Custodial Services Agreement;

3.2.3. the following agreements (and annexures thereto) required in order to trade in cash equities and derivative instruments in accordance with the terms of the Trading and Custodial Services Agreement:

- 3.2.3.1. ISDA master agreement;
- 3.2.3.2. Equity Swap annexure;
- 3.2.3.3. SAFEX mandate;
- 3.2.3.4. YIELD X mandate; and
- 3.2.3.5. Dealing mandate.

### For Peregrine

Signed at ..... on this date ..... 2015.	
..... <b>Peregrine Equities Pty Ltd, duly authorised</b>	..... <b>Witness</b>
Name & capacity:	

### Courtney Capital

Signed at ..... on this date ..... 2015.	
..... <b>Courtney Capital Pty Ltd, duly authorised</b>	..... <b>Witness</b>
Name & capacity:	

### OBO the Investor

Signed at ..... on this date ..... 2015.	
..... For Courtney Capital Pty Ltd, (in its capacity as the <b>duly authorised agent</b> and signatory of the Investor)	..... <b>Witness</b>
Name & capacity:	