



CORPORATE CLIENT APPLICATION FORM

Before completing this application form, please ensure that each joint account holder has obtained and read the information regarding the products and services provided by Saxo Capital Markets South Africa ("SCMSA") and all relevant terms and policies made available, and updated from time to time, on our website (www.za.saxomarkets.com)

PLEASE COMPLETE THE FORM IN BLOCK LETTERS AND IN BLACK OR BLUE INK. ALL ITEMS WITH * MUST BE PROVIDED.

Once completed this form should be sent to Saxo Capital Markets South Africa at the business address below or alternatively please email a scanned copy of the completed application to:

Private Clients: PrivateSales3@saxomarkets.co.za

Institutional Clients: Applications@saxomarkets.co.za

To see FICA requirements for this application please visit za.saxomarkets.com/support/legal-documentation/account-forms. It may be necessary for us to request further documentation and information in order to complete the due diligence process.

Saxo Capital Markets South Africa, The Place, 1 Sandton Drive, Sandton, Johannesburg,
South Africa, 2146 Company Registration No.: 2009/003513/07
Authorised and regulated by the Financial Services Board

ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Corporate Details

*Full Corporate Name: _____

* Registered Office Address: _____

*Postcode: _____

*Country: _____

Business Address: (*if different from registered address) _____

Postcode: _____

Country: _____

*Corporate registration No.: _____

*Country of incorporation: _____

*Nature of business: _____

Website address: _____

*Contact E-mail address: _____

*Contact telephone No.: _____

*Is the company listed on a regulated stock exchange?

No Yes

Name of the exchange: _____

* To comply with FATCA (Foreign Account Tax Compliance Act), SCMSA is required to obtain the following self-certification. For more information please visit www.irs.gov

Are any directors / shareholders / authorised persons US citizens? YES NO

Are any directors / shareholders / authorised persons US residents for tax purposes? YES NO

*If the company is not quoted on any regulated stock exchange, list all beneficial owners or shareholders with 25% or more equity/voting rights

Title	Full Name	Address	Date of Birth	Holdings %

*Please list all directors of the company (if necessary, provide in an additional sheet)

Title	Full Name	Address	Date of Birth

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Part 1 | Corporate Details (continued)

***Is your company regulated by a financial services regulator, such as the Financial Services Board or equivalent?**

No
Yes

Name of the regulator: _____

Please tick all criteria that apply to your company?

- Balance sheet total of at least R20,000,000 or equivalent
- Net turnover of at least R40,000,000 or equivalent
- Own funds of at least R2,000,000 or equivalent
- The size of the financial instrument portfolio (cash deposits and financial instruments) held by the company exceeds R 500,000 or equivalent

***Please indicate how many trades the company has undertaken in each of the following products on an execution-only basis during the last 12 months?**

PRODUCTS	NO. OF TRADES
FX Rolling Spot:	_____
Options & Futures:	_____
CFDs:	_____
Financial Spread Bets:	_____
Shares:	_____
ETFs & ETCs:	_____
Gilts & Bonds:	_____

***Please indicate all sources of funds for the account:**

- Share Capital Trading
- Income
- Investment Proceeds & Income

Others, please detail: _____

Please provide the most recent (preferably audited) Financial Statements of the company.

Tick to indicate a copy is attached.

**(THE BELOW CURRENCY QUESTION IS NOT APPLICABLE TO PRIVATE CLIENTS)
Please state the currency of the main account:**

ALL ITEMS WITH * MUST BE PROVIDED. Complete as necessary and add sheet(s), if required.

Part 2 | Details of Person(s) Authorised to Operate the Account

PERSON NO.1

*Title: Mr Mrs Miss Ms Dr
 Other (Please specify): _____

*Full Name: Identity Number

*Occupation: _____

*Current residential address: _____

*Postcode: _____

*Country: _____

*Date of Birth: DD / MM / YYYY

*Nationality

*Contact Tel. No.:

*E-mail Address:

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Previous residential address:

*Postcode:

*Country:

PERSON NO.2

*Title: Mr Mrs Miss Ms Dr
 Other (Please specify): _____

*Full Name: Name Surnam
 Identity Number

*Occupation: _____

*Current residential address: _____

*Postcode: _____

*Country: _____

*Date of Birth: DD / MM / YYYY

*Nationality

*Contact Tel. No.:

*E-mail Address:

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Previous residential address:

*Postcode:

*Country:

PERSON NO.3

*Title: Mr Mrs Miss Ms Dr
 Other (Please specify): _____

*Full Name: Name Surnam
 Identity Number

*Occupation: _____

*Current residential address: _____

*Postcode: _____

*Country: _____

*Date of Birth: DD / MM / YYYY

*Nationality

*Contact Tel. No.:

*E-mail Address:

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Previous residential address:

*Postcode:

*Country:

Part 3 | Trading Knowledge & Experience of the Main Person Operating the Account

Name: _____

(Note: Must be a person named in Part 2)

How long has the person continuously traded financial instruments on an execution only basis whether for the company or otherwise?

Less than 1 year
1 to 5 years
More than 5 years

Does the person have any industry recognised qualifications for the type of trading that your entity intends to carry out with us?

Yes
No

Does the person work, or has the person worked in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that your entity intends to carry out with us?

Yes
No

Part 4 | Data Protection

To comply with the Anti-Money Laundering Regulations, SCMSA is required to collect information and to undertake checks on the identity and residential address of the directors, shareholders and other authorized persons, including accessing and using information held in database or other electronic format through other agencies.

In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. SCMSA may also request further supporting documentation to verify these details. The information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. SCMSA will add data of the individuals (including email details) to the database of Saxo Bank Group which includes entities that are outside SA. A list of entities within the Group can be found on www.saxobank.com. SCMSA may exchange or share information with the firm or person who introduced your company to us (hereafter the "Introducing Broker") for proper performance of the services. SCMSA may occasionally contact the directors and other authorised persons of the company by email, telephone or post to give information about products and services offered that are similar or related to the products and services provided or previously provided to the company.

ALL ITEMS WITH * MUST BE PROVIDED.

Part 5 | Board Resolution

We certify that at a meeting of the directors of _____ COMPANY NAME

whose registered office is at _____ REGISTERED OFFICE ADDRESS

held on DD / MM / YYYY , the following resolutions were passed:

1. That Saxo Capital Markets South Africa (“SCMSA”) are hereby requested and authorised to open for the company such account(s) as may now or from time to time be considered appropriate for purposes of transacting and subscribing to the services and products of SCMSA according to the relevant terms and conditions;
2. That the director (s) that sign the application form are hereby authorised to do so for and on behalf of the company, do all acts, execute all documents and perform and enter into all agreements necessary or convenient for the purposes of opening and/or operating the account; and
3. That the person(s) on Part 2 of this application form and any additional person(s) so indicated are hereby authorised to give instruction in relation to the account(s).

Part 6 | Declaration

I/We, jointly and severally, declare that:

- I/We hereby request and authorise you to open an account for the company;
- I/We have read and understood the nature and the risk of the product(s) that the company intends to trade in this account;
- I/We have obtained from the website (www.za.saxomarkets.com), read and understood the following:
 - a) The General Business Terms (including the product risks disclosure detailed in Schedule 1 to these General Business Terms),
 - b) Order Execution Policy,
 - c) Conflict of Interest Policy,
 - d) Commission, Charges & Margin Schedule
- I/We warrant that we have full power and authority to open and operate the account in accordance with the above resolutions, the company’s Memorandum of Incorporation and any other constitutional documents and without breach of any law, restriction or obligation binding on the company;
- I/We have provided true, accurate and complete information and authorise you to make any enquiries which you may consider necessary for confirmation of such information and undertake to update SCMSA of any changes to the information provided without delay;
- I/We consent to the Order Execution Policy and for any orders to be executed outside a regulated market or a multilateral trading facility;
- I/We consent for any of unexecuted limit orders not to be made public;
- I/We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these be provided to me/us by way of posting on the website indicated above;
- I/We hereby authorise SCMSA to disclose information about my/our account(s) with SCMSA to the Introducing Broker. I/We thus understand and agree that SCMSA is allowed to reveal all information about my/our account(s) at SCMSA to the Introducing Broker and thus, for instance, send copies of any and all transaction notes, account statements etc. to the Introducing Broker. Furthermore SCMSA is allowed to grant to the Introducing Broker a viewing access to my/our account(s) with SCMSA which entails that the Introducing Broker by separate login will have access to view any and all details on my/our account(s) with SCMSA; and
- Each director, shareholder and other authorised person of the company consent to the use of their personal information as described on Part 4 of this form.

*Date:

Full Name:	Signature:	Director
Full Name:	Signature:	Director
Full Name:	Signature:	Director
Full Name:	Signature:	Director